

# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

 ATTORNEY'S DOCKET NUMBER  
08106-005001

 U.S. APPLICATION NO. (If Known, see 37 CFR 1.5)  
09/913414

 INTERNATIONAL APPLICATION NO.  
PCT/CA00/00173

 INTERNATIONAL FILING DATE  
21 February 2000

 PRIORITY DATE CLAIMED  
19 February 1999

## TITLE OF INVENTION

PRODUCTION OF HETEROLOGOUS POLYPEPTIDES FROM FRESHWATER CAULOBACTER

## APPLICANT(S) FOR DO/EO/US

John Smit

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 16 below concern other documents or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - ☒ International Search Report with cited references.
  - ☒ International Preliminary Examination Report.
  - ☐
  - ☐
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## CERTIFICATE OF MAILING BY EXPRESS MAIL

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I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231

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August 13, 2001

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Samantha Bell

Typed Name of  
Person Signing

Samantha Bell

U.S. APPLICATION NO. (IF KNOWN) <b>09/913414</b>		INTERNATIONAL APPLICATION NO. PCT/CA00/00173		ATTORNEY'S DOCKET NUMBER 08106-005001	
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
<b>Basic National Fee ( 37 CFR 1.492(a)(1)-(5) ):</b>  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... <b>\$1000</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$860</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... <b>\$710</b>  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... <b>\$690</b>  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100</b>  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>					
Surcharge of <b>\$130</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$860.00	
				\$0.00	
<input type="checkbox"/> Claims	Number Filed	Number Extra	Rate		
Total Claims	20 - 20 =		x <b>\$18</b>	\$0.00	
Independent Claims	4 - 3 =	1	x <b>\$80</b>	\$80.00	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ <b>\$270</b>	\$0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$940.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0.00	
<b>SUBTOTAL =</b>				\$940.00	
Processing fee of <b>\$130</b> for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$0.00	
<b>TOTAL NATIONAL FEE =</b>				\$940.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +				\$0.00	
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a. <input checked="" type="checkbox"/> A check in the amount of \$940.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 06-1050 in the amount of \$0.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1050. A duplicate copy of this sheet is enclosed.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO:					
Y. Rocky Tsao FISH & RICHARDSON P.C. 225 Franklin Street Boston, Massachusetts 02110-2804 (617) 542-5070 phone (617) 542-8906 facsimile			SIGNATURE: <i>Y. Rocky Tsao</i> NAME: Y. Rocky Tsao REGISTRATION NUMBER: 34,053		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John Smit  
Serial No. : N/A  
Filed : Herewith  
Title : PRODUCTION OF HETEROLOGOUS POLYPEPTIDES FROM  
FRESHWATER CAULOBACTER

Art Unit : Unknown  
Examiner : Unknown

Commissioner for Patents  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT**

Prior to examination, please amend the application as follows:

In the claims:

Amend claims 4,10-12, 15 as follows:

-- 4. (Amended) The cell of claim 1 wherein the DNA construct further comprises an operably linked promoter recognized by the cell.

--10. (Amended) The DNA construct of claim 9 further comprising an operably linked promoter recognized by Caulobacter.

--11. (Amended) The DNA construct of claim 8 wherein the secretion signal has an amino acid sequence which does not comprise SEQ ID NO:1.

--12. (Amended) A bacterial cell comprising a DNA construct of claim 9.

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Samantha Bell  
Samantha Bell

Typed or Printed Name of Person Signing Certificate

TO BE FILED WITH THE PATENT

--15. (Amended) The cell of claim 13 wherein the DNA construct further comprises an operably linked promoter recognized by Caulobacter wherein the DNA construct is expressed in the cell and the protein so expressed is secreted by the cell.

Add claims 17-20.

-- 17. The cell of claim 2 wherein the DNA construct further comprises an operably linked promoter recognized by the cell.

-- 18. The cell of claim 3 wherein the DNA construct further comprises an operably linked promoter recognized by the cell.

--19. The DNA construct of claim 9 wherein the secretion signal has an amino acid sequence which does not comprise SEQ ID NO:1.

--20. The DNA construct of claim 10 wherein the secretion signal has an amino acid sequence which does not comprise SEQ ID NO:1.

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Applicant : John Smit  
Serial No. : N/A  
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Page : 3

Attorney's Docket No.: 08106-005001 / 82104-22

Remarks

All amendments have been made to remove multiple dependency while conserving the claimed subject matter.

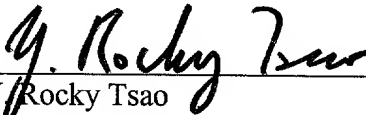
Attached is a marked-up version of the changes being made by the current amendment.

Applicant asks that all claims be examined. Please apply any charges to Deposit Account No. 06-1050.

Respectfully submitted,

Date: \_\_\_\_\_

8-13-01

  
\_\_\_\_\_  
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20301185.doc

08106-005001-82104-22

**Version with markings to show changes made**

In the claims:

Claim 4,10-12, 15 has been amended as follows:

-- 4. (Amended) The cell of claim 1 [,2 or 3] wherein the DNA construct further comprises an operably linked promoter recognized by the cell.

--10. (Amended) The DNA construct of claim 9 [or 10] further comprising an operably linked promoter recognized by Caulobacter.

--11. (Amended) The DNA construct of claim 8 [,9 or 10,] wherein the secretion signal has an amino acid sequence which does not comprise SEQ ID NO:1.

--12. (Amended) A bacterial cell comprising a DNA construct of claim 9 [,10, or 11].

--15. (Amended) The cell of claim 13 [or 14,] wherein the DNA construct further comprises an operably linked promoter recognized by Caulobacter wherein the DNA construct is expressed in the cell and the protein so expressed is secreted by the cell.

FILED

**PRODUCTION OF HETEROLOGOUS  
POLYPEPTIDES FROM FRESHWATER CAULOBACTER**

Field of Invention

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This invention relates to the use of the Caulobacter surface layer protein (S-layer protein) transport system for the expression and secretion of heterologous polypeptides from a host organism.

10 Background of the Invention

Many genera of bacteria assemble layers composed of repetitive, regularly aligned, proteinaceous sub-units on the outer surface of the cell. These layers are essentially two-dimensional paracrystalline arrays, and being the outer molecular layer of the organism, directly interface with the environment. Such layers are commonly known as S-layers and are found on members of every taxonomic group of walled bacteria including: Archaeobacteria; Chlamydia; Cyanobacteria; Acinetobacter; Bacillus; Aquaspirillum; Caulobacter; Clostridium; Chromatium. Typically, an S-layer will be composed of an intricate, geometric array of at least one major protein having a repetitive regular structure. In many cases, such as in Caulobacter, the S-layer protein is synthesized by the cell in large quantities and the S-layer completely envelopes the cell and thus appears to be a protective layer.

Caulobacter are natural inhabitants of most soil and freshwater environments and may persist in waste water treatment systems and effluents. The bacteria alternate between a stalked cell that is attached to a surface, and an adhesive motile dispersal cell that searches to find a new surface upon which to stick and convert to a stalked cell. The bacteria attach tenaciously to nearly all surfaces and do so without producing the extracellular enzymes or polysaccharide "slimes" that are characteristic of most other surface attached bacteria. They have simple requirements for growth. The organism is ubiquitous in the environment and has been isolated from oligotrophic to mesotrophic situations. Caulobacters are known for their ability to tolerate low nutrient level stresses, for example, low phosphate levels. This nutrient

can be limiting in many leachate waste streams, especially those with high levels of iron or calcium.

Freshwater Caulobacter producing S-layers may be readily detected by  
5 negative stain transmission electron microscopy techniques. Caulobacter may be isolated using the methods outlined by MacRae, J.D. and Smit (1991) Applied and Environmental Microbiology 57:751-758, which take advantage of the fact that Caulobacter can tolerate periods of starvation while other soil and water bacteria may not and that they all produce a distinctive stalk structure, visible by light microscopy  
10 (using either phase contrast or standard dye staining methods). Once Caulobacter strains are isolated in a typical procedure, colonies are suspended in 2% ammonium molybdate negative stain and applied to plastic-filmed, carbon-stabilized 300 or 400 mesh copper or nickel grids and examined in a transmission electron microscope at 60 kilovolt accelerating voltage, as described in Smit, J. (1986) "Protein Surface  
15 Layers of Bacteria", in Outer Membranes as Model System, M. Inouge, Ed. J. Wiley & Sons, at page 343-376. S-layers are seen as two-dimensional geometric patterns most readily on those cells in a colony that have lysed and released their internal contents.

20 The S-layer of different freshwater Caulobacter is hexagonally arranged with a similar centre-centre dimension and antisera raised against the S-layer protein of C. crescentus strain CB15 reacts with S-layer proteins from other Caulobacter (see: Walker, S.G., et al. (1992) J. Bacteriol. 174:1783-1792). All S-layer proteins isolated from Caulobacter may be substantially purified using the same extraction  
25 method (pH extraction). All strains appear to have a lipopolysaccharide (LPS) reactive with antisera against the CB15 strain lipopolysaccharide species. The LPS appears to be required for S-layer attachment.

The S-layer elaborated by freshwater isolates of Caulobacter are visibly  
30 indistinguishable from the S-layer produced by Caulobacter crescentus strains CB2 and CB15. The S-layer proteins from the latter strains have approximately 100,000 m.w. although sizes of S-layer proteins from other species and strains will



vary. The protein has been characterized both structurally and chemically. It is composed of ring-like structures spaced at 22nm intervals arranged in a hexagonal manner on the outer membrane. The S-layer is bound to the bacterial surface and may be removed by low pH treatment or by treatment with a calcium chelator such as  
5 EDTA.

The similarity of S-layer proteins in different strains of Caulobacter permits the use of a cloned S-layer protein gene of one Caulobacter strain for retrieval of the corresponding gene in other Caulobacter strains (see: Walker, S.G. et al. (1992)  
10 [supra]; and, MacRae, J.D. et al. (1991) [supra].

Expression, secretion and optionally, presentation of a heterologous polypeptide in Caulobacter provides advantages not previously seen in systems using organisms such as E. coli and Salmonella in which fusion products using different  
15 surface proteins have been reported. All known Caulobacter strains are believed to be harmless and are nearly ubiquitous in aquatic environments. In contrast, many Salmonella and E. coli strains are pathogens. Consequently, expression and secretion of a heterologous polypeptide using Caulobacter as a vehicle will have the advantage that the expression system will be stable in a variety of outdoor environments and may  
20 not present problems associated with the use of a pathogenic organism. Furthermore, Caulobacter are natural biofilm forming species and may be adapted for use in fixed biofilm bioreactors. The quantity of S-layer protein that is synthesized and is secreted by Caulobacter is high, reaching 12% of the cell protein. The unique characteristics of the repetitive, two-dimensional S-layer would also make such bacteria ideal for use  
25 as an expression system, or as a presentation surface for heterologous polypeptides. This is desirable in a live vaccine to maximize presentation of the antigen or antigenic epitope. In addition, use of such a presentation surface to achieve maximal exposure of a desired polypeptide to the environment results in such bacteria being particularly suited for use in bioreactors or as carriers for the polypeptide in aqueous or terrestrial  
30 outdoor environments.

The invention described in the PCT application published September 18, 1997

under WO 97/34000 describes the C-terminal region of Caulobacter crescentus S-layer protein as being essential for secretion of S-layer protein in that species. Heterologous polypeptides may be conveniently expressed and secreted by a host Caulobacter when the polypeptide is expressed as a fusion with the C-terminal  
5 secretion signal. Further studies with C. crescentus have demonstrated that the species employs a type I secretion system which involves an uncleaved C-terminal secretion signal on the surface layer protein (RsaA) and several transport proteins encoded by genes 3' to the surface layer protein gene (rsaA) (Amram, P. and Smit, J. (1998) Journal of Bacteriology 180:3062-3069).

10

A typical type I secretion system uses three transport protein components. One such component, the ABC transporter, is embedded in the inner membrane, contains an ATP-binding region, recognizes the C-terminal secretion signal of the substrate protein, and hydrolyzes ATP during the transport process. Another  
15 component, the membrane fusion protein (MFP) is anchored in the inner membrane and appears to span the periplasm. The remaining component is an outer membrane protein (OMP) that is thought to interact with the MFP to form a channel that extends from the cytoplasm through the two membranes to the outside of the cell. In C. crescentus, the ABC transporter and the MFP proteins have been termed RsaD and  
20 RsaE (respectively) and their genes are immediately 3' of rsaA. Further downstream is the rsaF gene which is believed to encode the OMP.

It is desirable to provide for the use of Caulobacter species other than C. crescentus in the expression and secretion of heterologous polypeptides from a host  
25 organism.

#### Summary of Invention

This invention is based on the discovery that S-layer producing freshwater  
30 Caulobacter (other than C. crescentus) rely on a type I secretion signal located at the C-terminus of the S-layer protein and highly conserved transport proteins. While the secretion signal itself is not as well conserved as the transport proteins, a secretion

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signal from a first species of Caulobacter will be recognized by the transport mechanism of other species. Thus, a surface layer protein secretion signal derived from any freshwater S-layer producing Caulobacter may be used in the invention described in WO 97/34000. As well, any Caulobacter which contains a type I  
5 secretion system may be used as a host organism for the expression and secretion of heterologous polypeptides to which a Caulobacter S-layer protein secretion signal has been fused. Nucleic acid constructs made for expression of heterologous polypeptides may include a surface layer protein secretion signal from a Caulobacter other than C. crescentus, for expression in the same species from which the surface layer protein  
10 signal was derived or for expression in a different species. Furthermore, a C-terminal secretion signal derived from the S-layer protein (RsaA) of C. crescentus, may be used in such transformation of Caulobacter other than C. crescentus.

This invention also provides the use of Caulobacter other than C. crescentus as  
15 a host organism for the expression of polypeptides heterologous to a surface layer protein of the Caulobacter, wherein the Caulobacter has at least one surface layer transport protein that is homologous to RsaD or RsaE of C. crescentus. This invention also provides a method for identifying a candidate Caulobacter for such use, comprising extracting DNA from the Caulobacter, contacting the DNA with an  
20 oligonucleotide that is selectively hybridizable to one of rsaD and rsaE of C. crescentus, and determining whether the oligonucleotide hybridizes to the DNA. The sequences of RsaD and RsaE and coding sequences rsaD and rsaE are known.

This invention also provides a Caulobacter host, wherein the host comprises at  
25 least one surface layer transport protein having an amino acid sequence homologous to RsaD or RsaE, and wherein the host further comprises a DNA construct for expression of a polypeptide heterologous to a surface layer protein of the host, the construct comprising DNA encoding a heterologous polypeptide 5' to and operably linked with DNA encoding a Caulobacter surface layer protein secretion signal, with  
30 the proviso that when the host comprises transport proteins having sequences the same as both RsaD and RsaE, the secretion signal is not from C. crescentus.

This invention also provides a DNA construct comprising one or more restriction sites for facilitating insertion of DNA into the construct, wherein the construct further comprises DNA encoding a Caulobacter surface layer protein secretion signal not present in C. crescentus.

5

This invention also provides a DNA construct for expression of a heterologous polypeptide comprising DNA encoding a polypeptide not present in Caulobacter surface layer protein 5' from and operatively linked to DNA encoding a surface layer protein secretion signal not present in C. crescentus.

10

A surface layer protein secretion signal not present in C. crescentus will function as such a signal in a Caulobacter type I secretion system but will not have an amino acid sequence that is the same as amino acids 945-1026 of the Rsa protein of C. crescentus. The latter sequence (SEQ ID NO:1) is:

15

AFGAAVTLGAAATLAQYLDAAAAGDGSVAKWFQFGGDTYVVVDSSAG  
ATFVSGADAVIKLTGLVTLTTSFAFATEVLTLA

This invention also provides a bacterial cell comprising the aforementioned  
20 DNA constructs. Where the bacterial cell is other than C. crescentus, the DNA construct may comprise a surface layer protein secretion signal derived from RsaA. This invention also provides the use of the aforementioned DNA constructs for transformation of bacterial cells and the use of such cells for expression and secretion of polypeptides heterologous to the cell. Where the cell is Caulobacter, the  
25 polypeptide is heterologous to the S-layer protein of the cell. This invention also provides proteins comprising heterologous material, secreted from a Caulobacter in which the secretion signal is not found in C. crescentus.

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### Description of the Drawings

For better understanding of this invention, reference may be made to the preferred embodiments and examples described below, and the accompanying  
5 drawing in which:

Figure 1 shows the organization of the C. crescentus genome with respect to the surface layer protein subunit gene (rsaA) and the downstream (3') type I transport protein genes: rsaD (encodes the ABC - transporter), rsaE (encodes the membrane  
10 fusion protein (MPF) and rsaF (encodes the outer membrane protein OMP). LPS genes A-F are involved in the production of lipopolysaccharides.

### Description of the Preferred Embodiments

15 Organisms for use in this invention include all S-layer producing freshwater species or strains of Caulobacter. While similarity of the S-layer gene and S-layer secretion systems permits the use of different S-layer protein producing freshwater Caulobacter in this invention, the C-terminal secretion signals of the S-layer genes of C. crescentus strains CB2 and CB15 (and variants of those strains which contain  
20 homologs of the rsaA gene encoding the 1026 amino acid paracrystalline S-layer protein described in: Gilchrist, A. et al. 1992 Can. J. Microbiol. 38:193-208) are specifically referred to in the detailed description and Examples set out below.

Caulobacter strains that are incapable of forming an S-layer, including those  
25 which shed the S-layer protein upon secretion, may be used in this invention. Examples are the S-layer negative mutants CB2A and CB15AKSac described in Smit, J., and N. Agabian (1984) J. Bacteriol. 160:1137-1145; and, Edwards, P., and J. Smit (1991) J. Bacteriol. 173:5568-5572. Examples of shedding strains are CB15Ca5 and CB15Ca10 described in Edwards and Smit (1991), and the smooth  
30 lipopolysaccharide deficient mutants described in Walker, S.G. et al. (1994) J. Bacteriol. 176:6312-6323.

A heterologous polypeptide as referred to herein may be any peptide, polypeptide, protein or a part of a protein which is desired to be expressed in Caulobacter and which may be secreted by the bacterium. A polypeptide that is heterologous to a surface layer protein of a Caulobacter means a polypeptide not  
5 found in the surface layer protein native to the Caulobacter in which the heterologous polypeptide is expressed.

Heterologous polypeptides include enzymes and other functional sequences of amino acids as well as ligands, antigens, antigenic epitopes and haptens. The size of  
10 the heterologous polypeptide will be selected depending upon whether an intact S-layer is to be produced in the Caulobacter or whether the protein to be recovered from the bacterial medium as described below. Heterologous polypeptides of about 400 amino acids have been expressed. Preferably, the cysteine content of the heterologous polypeptide and the capacity for formation of disulphide bonds within  
15 the chimeric protein will be kept to a minimum to minimize disruption of the secretion of the chimeric protein. However, the presence of cysteine residues capable of forming a disulphide bond which are relatively close together, may not affect secretion.

20 This invention may be practised by implementing known methods for insertion of a selected heterologous coding sequence into all or part of an S-layer protein gene so that both the S-layer protein and the heterologous sequence are operably linked thereby permitting the S-layer protein and the heterologous sequence to be transcribed together and "in-frame". Sequencing of an S-layer protein gene permits one to  
25 identify potential sites to install heterologous genetic material. The repetitive nature of the protein in the S-layer permits multiple copies of heterologous polypeptides to be expressed.

The following general procedure lays out courses of action, with reference to  
30 particular plasmid vectors or constructions, that may be used to accomplish fusion of an S-Layer protein with a polypeptide of interest. The following description makes reference to the rsaA gene of C. crescentus described by Gilchrist, A. et al. (1992)

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and in WO 97/34000, as an example.

The general procedure includes detailed steps allowing for the following possibilities:

5

(1) use of a collection of potentially permissive sites in the S-layer gene to install the genetic information for a polypeptide of interest;

(2) use of a carrier cassette for delivering a gene of interest to sites within  
10 the S-layer gene;

(3) creation of a collection of random insertion sites based on a restriction enzyme of choice, if the available collection of potentially permissive sites is for some reason unsuitable; and,  
15

(4) direct insertion of DNA coding for a polypeptide of interest into permissive sites.

The general procedure involves the following steps and alternative courses of  
20 action. As a first step the practitioner may choose an appropriate region (or specific amino acid position) of the S-layer for insertion of a desired polypeptide. Second, the practitioner will create a unique restriction site (preferably hexameric) in the S-layer gene at a position within the gene encoding that region (or corresponding to a specific amino acid) using either standard linker mutagenesis (regional) or site directed  
25 mutagenesis (specific amino acid). The unique restriction site will act as a site for accepting DNA encoding the polypeptide of interest. For example, the plasmid-based promoter-less version of the rsaA gene (pTZ18U:rsaA P) described in Gilchrist, A. et al. (1992) may be used because it contains an appropriate combination of 5' and 3' restriction sites useful for subsequent steps. Preferably, the restriction site should not  
30 occur in the S-layer gene, its carrier plasmid or the DNA sequence coding for the polypeptide of interest.

If it is unclear which region of the S-layer would be suitable for insertion of a polypeptide of interest, a random linker mutagenesis approach may be used to randomly insert a unique linker-encoded restriction site (preferably hexameric) at various positions in the gene. Sites for insertion of the linker are created using an  
5 endonuclease, either of a sequence specific nature (e.g. tetrameric recognition site restriction enzyme) or sequence non-specific nature (e.g. Deoxyribonuclease I [DNase I]). A particularly suitable method is the generalized selectable linker mutagenesis approach described in Bingle, W.H., and J. Smit. (1991) *Biotechniques* 10: 150-152, by which endonuclease digestion is carried out under partial digestion  
10 conditions and a library of linker insertions at different positions in a gene is created. Partial digestion with different endonucleases create potential sites for insertion of a linker.

If restriction endonucleases are used to create sites for subsequent insertion of  
15 a linker encoding a hexameric restriction site, mutagenesis may also be done with a mixture of 3 different linkers incorporating appropriate spacer nucleotides in order to obtain an insertion with proper reading frame at a particular restriction site. With DNase I, only one linker is needed, but only 1 of 3 linker insertions may be useful for accepting DNA encoding the polypeptide of interest depending on the position of the  
20 DNase I cleavage.

A linker tagged with a marker may be used to insert DNA of interest at a restriction site. For example, if BamHI sites are appropriate as sites for the introduction of DNA encoding a polypeptide of interest, BamHI linkers tagged with a  
25 kanamycin-resistance gene for selectable linker mutagenesis may be used. One such 12-bp linker carried in plasmid pUC1021K for use in rsaA was described by Bingle and Smit (1991). Two additional 15-bp linkers (pUC7165K and pTZ6571K) for creating 2 other possible translation frames within the linker insert itself are described in Figures 3 and 4 of WO 97/34000. A mixture of three such linkers is preferably  
30 used for mutagenesis.

Once a library composed of linker insertions encoding desired a hexameric



restriction site at different positions has been created, DNA encoding a polypeptide of interest may be inserted into the sites en masse. The library may be digested with the restriction enzyme specific for the newly-introduced linker encoded restriction site and ligated to a DNA fragment encoding the polypeptide of interest and carrying the appropriate complementary cohesive termini. DNA specifying the polypeptide of interest can be prepared by a number of standard methods, which may include oligonucleotide synthesis of 2 anti-complementary strands, polymerase chain reaction (PCR) procedures, or addition of linkers whose termini are compatible with the introduced sites in the target gene to a suitably modified segment of DNA.

10

In order to facilitate the rapid recovery of genes carrying DNA inserted at restriction sites, a carrier oligonucleotide may be used. An example of the use of such a carrier, shown in Figure 1 of WO 97/34000, was designed to accept DNA prepared by PCR or by anneating synthesized oligonucleotides and controls direction of insertion of the foreign segment into a rsaA gene through use of a promoterless drug resistance marker. The DNA of interest is first directionally cloned, if possible, using the XhoI, StuI, or Sall sites or non-directionally cloned using any one of the sites in the same orientation as a promoterless chloramphenicol resistance (CmR) gene. To do this the DNA of interest may be provided with the appropriate termini for cloning and spacer nucleotides for maintaining correct reading frame within the cassette and should not contain a BglII site. For insertion into the BamHI linker library, the DNA of interest is recovered as a BamHI fragment tagged with a CmR gene. When ligated to the BamHI digested rsaA linker library, only those colonies of the bacterium (eg. E. coli) used for the gene modification steps that are recovered will be those carrying insertions of the desired DNA in the correct orientation, since the promoter on the plasmid is 5' to rsaA P and the CmR gene. This eliminates screening for DNA introduction and increases the recovery of useful clones. While still manipulating the library as one unit, the CmR gene is removed using BglII. The carrier oligonucleotide also provides the opportunity to add DNA 5' or 3' to the DNA of interest at Sall, XhoI or StuI sites providing the DNA of interest does not contain any of these sites. This allows some control over spacing between rsaA sequences and the sequence of the DNA of interest.

30

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Genes carrying the DNA of interest in the correct orientation may be excised from the plasmid and transferred to a suitable vector providing a promoter recognized by Caulobacter. Such vectors include pWB9 or pWB10 with EcoRI/SstI sites, as  
5 described in Bingle, W.H., and J. Smit. (1990). Plasmid 24: 143-148. The DNA of interest should not contain the same restriction sites present in the vector. This allows expression of the hybrids in S-layer negative mutants of Caulobacter.

Caulobacter surviving transfer are examined for chimeric protein secretion,  
10 and optionally S-layer assembly or presentation of the new polypeptide activity, antigenicity, etc. on the cell, by methods specific to the needs of the investigator or the capabilities of the inserted sequence. Many of the sites created are "benign" as they have no effect on the functional regions of the protein involved with export, self assembly, etc. However, not every site that results in an absence of functional  
15 disruption of the S-layer is best for insertion of new activities. Some sites may not be well exposed on the surface of the organism and other sites may not tolerate insertion of much more DNA than the linker sequence.

It is possible to express single or multiple insertions of heterologous  
20 polypeptides in a S-layer chimeric protein which will still assemble as an S-layer on the cell surface. Some sites may be sensitive to even small insertions resulting in the chimeric protein being released into the medium. Release may also be deliberately effected by use of a shedding strain of Caulobacter to express the chimeric protein or by physical removal of the S-layer from whole cells. Where S-layer assembly is not  
25 required, quite large polypeptides may be expressed as part of the S-layer protein. Expressing a chimeric protein containing a S-layer protein component having substantial deletions, may increase the size of the heterologous polypeptides that will be expressed and secreted by Caulobacter.

30 The preceding methods describe insertion of linkers in-frame into a promoterless version of the S-layer gene. The sites that are introduced allow subsequent insertion of foreign DNA in-frame into the full length gene. This

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invention also involves the construction of chimeric S-layer protein genes and the resulting production of chimeric S-layer proteins in which the S-layer gene component lacks large portions of the gene. This reduces the amount of Caulobacter protein present in the secreted chimeric protein. Generally, large deletions throughout the

5 S-layer gene will result in a chimeric protein that is not capable of forming an S-layer. Attachment of the S-layer to the cell is abolished if the N-terminal amino acids which contribute to S-layer formation are deleted. For example, deletion of the first 29 N-terminal amino acids of the RsaA protein will accomplish this. Also absence of the first 776 amino acids from the N-terminal region of RsaA will result in a chimeric

10 protein secreted from the cell but having a S-layer component consisting of only the 250 C-terminal amino acids of RsaA. Since only the extreme C-terminal region corresponding to approximately amino acids 945-1026 of RsaA is required for secretion of an S-layer chimeric protein from C. crescentus, use of only the C terminal secretion signal will prevent S-layer formation. Furthermore, use of only

15 the C-terminal region promotes spontaneous aggregation of much of the secreted chimeric protein in the cell medium and formation of a macroscopic precipitate that may be collected with a coarse mesh or sheared to micron-sized particles. Yields of up to 250 mg. (dry weight) of protein per liter of cells may be possible.

20 Sequence analysis of the 3' region of the S-layer genes from different strains of C. crescentus shows that the portion of the gene encoding the C-terminal region of the S-layer protein is highly conserved within the species. It has now been determined that while there is moderate variability in the sequence of surface layer proteins (including the secretion signal) from different species of freshwater

25 Caulobacter, there is an unusually high sequence conservation among different Caulobacter species for the ABC-transporter protein and the membrane fusion protein. Sequence analysis of CB15 and CB2A (readily distinguishable strains of C. crescentus) shows identical DNA sequences coding for the last 118 amino acids of the RsaA protein (which includes the secretion signal) and sequencing of the next

30 downstream translated gene (rsaD) to amino acid 97 of the gene product shows only a single base pair change, resulting in a conservative amino acid substitution in the ABC transporter protein. Sequence analysis of surface layer protein genes and the

transporter protein genes in species other than C. crescentus shows more variability in the secretion signals between species as compared to strains of C. crescentus. However, a much higher level of inter-species conservation exists with respect to the transporter proteins, even (as is the case with some species of Caulobacter) when the  
5 transporters are not located immediately downstream from the surface layer protein gene.

It having now been demonstrated that species of Caulobacter other than C. crescentus employ a C-terminal secretion signal for the surface layer protein and  
10 contain highly conserved transport proteins, the procedures described herein or are known in the art. may be readily employed for use surface layer protein secretion signals from Caulobacter other than C. crescentus and to identify and use species other than C. crescentus as a host for expression of heterologous polypeptides.

15 The moderate inter-species conservation of surface layer protein genes (particularly for glycine-aspartic acid rich regions of the protein) may be exploited for locating a S-layer protein gene in a candidate Caulobacter, using known methods. Alternatively, the gene may be located by searching for a sequence hybridizable to a sequence derived from an amino acid sequence which is determined by sequencing the  
20 S-layer protein secreted by the candidate cell, using methods in the known art.

The minimal amino acid tract from a Caulobacter that constitutes the essential surface layer protein secretion signal may be determined by the procedures described herein or by methods known in the art. One approach is to identify regions from  
25 S-layer genes of a Caulobacter which code for amino acid sequences that exhibit some identity to the last 82 C-terminal residues of the RsaA protein of C. crescentus. Homology to upstream sequences in the protein may also be assessed. Another approach is to delete N-terminal amino acids from the surface layer protein until secretion is lost.

30

Caulobacter other than C. crescentus may be screened for suitability as hosts for expression and secretion of heterologous polypeptides by determining whether a

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candidate cell has a gene or gene product which exhibits sufficient identity to the rsaD or rsaE genes or RsaD or RsaE proteins from C. crescentus. This may be conveniently accomplished by determining whether an oligonucleotide probe based on the rsaD or rsaE gene sequences will selectively hybridize to DNA from the candidate  
5 cell. The probe is prepared by any means for construction of an oligonucleotide and will preferably have a sequence that is homologous to all or part of rsaD or rsaE. The probe will consist of at least 20, more preferably at least 30, more preferably at least 40, and even more preferably at least 50 nucleotides. The probe may be used for amplification by known procedures (eg. by PCR) of target DNA or may be  
10 labelled for direct determination of the presence of target DNA by known procedures. Labels include radio-labels, fluorescent labels, etc. Detection of target DNA may be accomplished through various standard techniques such as Southern blotting, in-situ hybridization, etc. Caulobacter other than C. crescentus are useful as host organisms for expression and secretion of heterologous polypeptides when the host contains a  
15 transport protein that is homologous to either the RsaD or RsaE proteins of C. crescentus.

An amino acid or nucleic acid sequence is "homologous" to another such sequence if the two sequences are substantially identical and the function of the  
20 sequences is conserved (for example, both sequences function as or encode a secretion signal or a transport protein functional in Caulobacter). Two amino acid or nucleic acid sequences are considered substantially identical if they share at least about 70% sequence identity, preferably at least about 80% sequence identity, more preferably at least about 90% sequence identity. Sequence identity may be determined using the  
25 BLAST algorithm, described in Altschul et al. (1990), J. Mol. Biol. 215:403-10 (using the published default settings). In such circumstances, percentage of sequence identity may be expressed as a "homology" of the same percentage.

An alternative indication that two nucleic acid sequences are homologous  
30 (substantially identical) is when two sequences selectively hybridize to each other under at least moderately stringent conditions. Hybridization to filter-bound sequences under moderately stringent conditions may, for example, be performed in

0.5 M NaHPO<sub>4</sub>, 7% sodium dodecyl sulfate (SDS), 1 mM EDTA at 65°C, and washing in 0.2 x SSC/0.1% SDS at 42°C (see Ausubel *et al.* (eds), 1989, Current Protocols in Molecular Biology, Vol. 1, Green Publishing Associates, Inc., and John Wiley & Sons, Inc., New York, at page 2,10.3). Higher sequence identity is demonstrated by hybridization to filter-bound sequences under stringent conditions which may (for example) be performed in 0.5 M NaHPO<sub>4</sub>, 7% SDS, 1 mM EDTA at 65°C, and washing in 0.1 x SSC/0.1% SDS at 68°C (see Ausubel, *et al.* (eds), 1989). Hybridization conditions may be modified in accordance with known methods depending on the sequence of interest (see Tijssen, 1993, Laboratory Techniques in Biochemistry and Molecular Biology - Hybridization with Nucleic Acid Probes, Part I, Chapter 2 "Overview of Principles of Hybridization and the Strategy of Nucleic Acid Probe Assays", Elsevier, New York). Generally, stringent conditions are selected to be about 5°C lower than the thermal melting point for the specific sequence at a defined ionic strength and pH.

15

In this invention, screening of Caulobacter for use as a host organism according to transport protein sequence identity may involve the use of oligonucleotide probes designed to selectively hybridize to target DNA, if the target contains DNA that is homologous (substantially identical) to all or part of rsaD or rsaE. Caulobacter of this invention comprise DNA encoding a transport protein that is homologous to either rsaD or rsaE. However, as disclosed herein, surface layer protein secretion signals useful in this invention may not exhibit such high identity to the secretion signal of the rsaA gene. The level of identity to RsaA or rsaA sequences might be less than 50%, which is lower than that required for "homology" as defined above. In such cases, the secretion signal may be solely defined according to its ability to effect transport of a protein of which the signal is the C-terminus, through the type I secretory system of a Caulobacter. The presence or absence of this function may be readily determined by monitoring extra-cellular occurrence of a protein of interest using known means or the procedures described herein.

30

Expression of heterologous polypeptides may be practised by use of modified S-layer genes borne on plasmids which may be readily constructed and introduced to

Caulobacter by electroportation. Typically, the plasmid is maintained in the Caulobacter by antibiotic selection. Highly modified S-layer genes with attached heterologous sequences may also be introduced into Caulobacter on a plasmid that is not replicated by Caulobacter since homologous recombination of the incoming modified S-layer gene with the chromosome-resident copy of the S-layer gene in the cell will often occur at a low but practicable frequency resulting in a gene rescue or transfer event. In some cases it may be desirable to obtain a stable cell line in which the chimeric S-layer gene is chromosomal. Various protocols for creating chromosomal insertions are set out in the Examples.

10

Use of Caulobacter S-layer protein as a vehicle for production of a heterologous polypeptide has several advantages. Firstly, the S-layer protein is synthesized in large quantities and has a generally repetitive sequence. This permits the development of systems for synthesis of a relatively large amount of heterologous material as a fusion product with an S-layer protein (chimeric protein). It may be desirable to retain the chimeric protein as part of the bacterial cell envelope or, the fusion product may be separated from the organism, such as by the method described in: Walker, S.G., et al. (1992) J. Bacteriol. 174:1783-1792. Alternatively, the Caulobacter strain that is used to express the fusion product may be derived from a strain such as CB15Ca5 that sheds its S-layer.

Caulobacter are particularly suited for use in bioreactor systems. An example would be the use of a modified Caulobacter to treat sewage, waste water etc. Caulobacters are ideal candidates for fixed-cell bioreactors, the construction of which is well-known (eg. rotating biological contactors). Other bacteria often produce copious polysaccharide slimes that quickly plug filtration systems. In some cases, other bacteria are not surface-adherent. By taking advantage of the natural bio-film forming characteristics of Caulobacter, bioreactors may be formed comprising a substrate and a single layer of cells adhered thereon, with the cells distributed at high density. A variety of substrates may be used such as a column of chemically derivatized glass beads or a porous ceramic material such as ceramic foam.

Another application is in the production of batch cultures of modified Caulobacter wherein the S-layer protein is a fusion product with an enzyme. For example, such Caulobacter could be grown in wood pulp suspensions at an appropriate juncture of the pulping process in order to provide for enzymatic  
5 decomposition of the wood-pulp structure.

Examples of enzymes that may be expressed as chimeric S-layer proteins include alkaline phosphatase (eg. by expression of the pho A gene of E. coli; see: Hoffman, C.S., and Wright, A. (1985) Proc. Natl. Acad. Sci. U.S.A.  
10 82:5107-5111; Bingle, W.H., et al. (1993) Can. J. Microbiol. 39: 70-80; and, Bingle, W.H. and Smit, J. (1994) Can. J. Microbiol. 40:777-782.) and, cellulase (eg. by expression of the CenA gene of Cellulomonas fimi; see: Bingle, W.H. et al. (1993); and, Bingle, W.H. and Smit, J. (1994).

15 Another application is the production of organisms that secrete and optionally present vaccine-candidate epitopes. Modified Caulobacter may be readily cultured in outdoor freshwater environments and would be particularly useful as fish vaccines. The two-dimensional crystalline array of the S-protein layer of Caulobacter, which has a geometrically regular, repetitive structure, provides an ideal means for dense  
20 packing and presentation of an epitope as part of an intact S-layer on the bacterial cell surface.

Polypeptides secreted by Caulobacter may be harvested in large quantities, relatively free of contaminants and protein of host cell origin. Expression of a  
25 heterologous polypeptide fused with sufficient C-terminal amino acids of the S-layer protein to promote secretion of the protein results in the accumulation of large quantities of secreted product in the cell medium. The chimeric protein does not have to be released from the cell surface, but adjustment of the size of the S-layer protein portion can dictate whether the secreted chimeric protein is soluble or will precipitate  
30 in the cell medium. This is useful in cases where the Caulobacter is used to express a foreign antigenic component and it is desired to minimize the amount of host cell protein associated with the antigen.



Example 1: Production of Permissive Insertion Sites in *C. crescentus*

Using the restriction enzyme TaqI, a partial digestion of the rsaA gene in  
5 pTZ18U:rsaA P produced a group of linearized segments with random TaqI sites  
cleaved. The linearized segments were modified by use of the tagged linker  
mutagenesis procedure of Bingle and Smit (1991), using the 12-bp BamHI linker  
carried in plasmid pUC102K discussed in the general procedure above. Those  
products that produced a full-length protein in E. coli were ultimately transferred to  
10 pWBI (a minor variation of pWB9 that is replicated by Caulobacter), as described in  
the general procedure. The resulting construction was introduced into a C. crescentus  
strain. Distinguishable events were retrieved and analyzed for the ability to produce a  
full-length protein in C. crescentus and to produce the crystalline S-layer on their  
surface and the approximate location of the insertion. Cells were screened for the  
15 presence of a S-layer protein of approximately 100kDa that is extracted from the  
surface of whole cells by 100 mM HEPES at pH2. The results of this screening  
resulted in five successful events.

The five positive events represented cases where a 4-amino acid insertion was  
20 tolerated with no effect on the S-layer function. The S-layers of the modified  
Caulobacter were indistinguishable from a wild-type S-layer. By producing  
3 versions of the gene of interest, representing each possible reading frame (using  
standard linker addition technology), one may test each of these sites for suitability in  
expressing the desired activity. Also, by using restriction enzymes other than TaqI  
25 (such as AclI, HinPI or MspI) a larger library of BamHI insertions may be created.

Example 2: Investigation of Other Permissive Sites in *rsaA* Gene

A library of 240 BamHI linker insertions was created using the procedures of  
30 Example 1. Of the 240 insertions, 45 target sites in the rsaA gene were made with  
TaqI. 34 of the latter insertions were discarded because the clones contained deletions  
of rsaA DNA as well as the linker insertions. The remaining 11 resulted in

5 non-permissive and the 5 permissive sites found in Example 1. The remaining 195 insertions in the library were made using the enzymes HinPI, Acil, and MspI to create target sites as outlined in Example 1. Of the latter 195 insertions, 49 permissive sites were located for a total of 55. Of those sites scored as 5 non-permissive, some may have had deletions of rsaA DNA at the linker insertion site. One BamHI linker insertion at a TaqI site thought to be permissive was later found by nucleotide sequencing to be located outside the rsaA structural gene reducing the total number of permissive sites to 54 from 55. The results show that sites that will accept 2-4 amino acids while still allowing the protein to be made and assembled 10 into an S-layer are scattered up and down the protein. There is a high proportion of sites at which such insertions do not prevent expression and assembly of the S-layer. Approximately 25-50% of in-frame linker insertions will be tolerated by the S-layer protein and the Caulobacter and that diverse regions of the protein will tolerate insertions.

15

### Example 3: Studies with Cadmium Binding Polypeptides

Following the foregoing procedures, single and multiple copies of DNA encoding a synthetic cadmium binding peptide were synthesized, inserted at the amino 20 acid 277 site of rsaA using the above described Carrier cassette, and expressed in C. crescentus. The peptide has a single cysteine residue. Mild acid extracts of whole cells expressing the modified gene were subjected to SDS-PAGE for identification of S-layer proteins. The S-layer protein was expressed and secreted when there was from 1 to 3 copies of the cadmium binding peptide present at RsaA amino acid 25 position 277. Insertion of 4 or more copies resulted in a dramatic reduction of S-layer protein released from the whole cells by mild acid treatment to barely detectable levels. Detection by autoradiography of RsaA protein in vivo labelled with <sup>35</sup>S-cysteine and in vitro with <sup>125</sup>I- iodoacetamide confirmed that the cadmium binding peptide was part of the chimeric protein. This demonstrates that C. crescentus is 30 capable of secretion of a chimeric rsaA protein having a limited cysteine content and a limited capacity for disulphide bond formation but that increased capacity of disulphide bond formation will limit production.

Example 4: Expression and Presentation of Antigenic Epitopes on Caulobacter Cell Surface

5        Using the library of the 49 permissive sites other than those made with TaqI described in Example 2, the coding sequence for a 12-amino acid pilus peptide epitope lacking cysteine residues from Pseudomonas aeruginosa PAK pilus (described in Figure 8 of WO 97/34000) was inserted at the sites using the procedures described above, employing the carrier cassette described above. Positioning of the inserted  
10 DNA between the first Bam HI site and the Bgl II site permitted use of the latter site for making repeated insertions of DNA. DNA coding for the PAK pilus peptide was prepared by oligonucleotide synthesis of two anti-complementary strands.

15        The transformed bacteria were screened for both production and presentation of the epitopes by the transformed Caulobacter using standard Western immunoblot analysis (see: Burnette, W. N. (1981) Analytical Biochemistry 112:195-203) and by colony immunoblot tests in which the cells were not disrupted (see: Engleberg, N.C., et al. (1984) Infection and Immunity 44:222-227). Anti-pilus monoclonal antibody (PK99H) obtained from Dr. Irvin, Dept. of Microbiology, University of Alberta,  
20 Canada was used in the immunoblot analyses to detect the presence of the pilus epitope insert. The antibody was prepared using purified Pseudomonas aeruginosa PAK pilus as the antigen and a monoclonal antibody was isolated by standard techniques using BALB/C mice as a source of ascites fluid. Reaction with the antibody in a whole cell colony immunoblot assay showed that the epitope is not only  
25 expressed in the transformed Caulobacter but is exposed on the S-layer surface overlying the cell in such a way that the epitope is available to the antibody. When two cysteine residues of the pilin epitope were incorporated in the chimeric protein, the protein was still expressed and secreted at normal levels.

30        Of the organisms screened, insertions of the pilus epitope at the following sites in the rsaA gene as determined by nucleotide sequencing resulted in a positive reaction with the antibody in the whole cell Colony immunoblot analysis: 69, 277,

353, 450, 485, 467, 551, 574, 622, 690, 723, and 944. The results show that the permissive sites that will accept polypeptides of the size of the epitope are numerous and scattered across the gene.

5 Further studies with the pilus peptide resulted in successful expression and secretion of chimeric proteins having single copies of the peptide at various other locations. Also, four and seven copies of the peptide were expressed and secreted as a RsaA chimeric protein when inserted at amino acids 277 and 551 respectively of the RsaA protein. However, insertions of the peptide at amino acids 69, 277, 450, 551  
10 and 622 resulted in a chimeric protein that did not attach to the cell surface and was released into the culture medium.

#### Example 5: Insertion of Large Polypeptides

15 Bacterial surface proteins from organisms other than Caulobacter are generally not known to accept polypeptides larger than about 60 amino acids within the structure of the surface protein. The procedures of the preceding Example were carried out in order to insert the coding sequence of a 109 amino acid epitope from IHNV virus coat glycoprotein at the same insertion sites. The IHNV epitope was  
20 prepared by PCR and had a sequence as shown in Figure 9 of WO 97/34000, which is equivalent to amino acid residues 336-444 of the IHNV sequence described in: Koener, J.F. et al. (1987) Journal of Virology 61:1342-1349. Anti-IHNV polyclonal antibody against whole IHNV obtained from Dr. Joann Leong, Dept. of Microbiology, Oregon State University, U.S.A. (see: Xu, L. et al. (1991) Journal of  
25 Virology 65:1611-1615), was used in immunoblot assays as described above to screen for Caulobacter that express and present the IHNV sequence on the cell surface. Reaction in the whole cell colony immunoblot assay was positive in respect of insertions at sites 450 and 551, and negative at a site which was at approximately amino acid 585.

30

The IHNV insert contains a single cysteine residue and is an extremely large

insert for successful expression as a fusion product with a bacterial surface protein. In further studies, the same 109 amino acid portion of the IHNV glycoprotein was inserted at amino acid 450 of the RsaA protein. The protein secreted by C. crescentus was recovered from the cell culture medium. SDS-PAGE analysis showed that some  
5 of the protein was smaller than the predicted rsaA chimeric protein but still bound the anti-IHNV antibody. Analysis of these proteolytic products showed that cleavage of the chimeric protein occurred at an Arg residue encoded by the gene transfer cassette. Thus in some cases, adjustment of the nucleotide sequence at the interface of the polypeptide and rsaA coding sequences may be necessary to prevent expression of an  
10 arginine residue.

#### Example 6:

Methods are described above for the insertion of 12-bp BamHI linker sites into  
15 a promoterless version of the rsaA gene. Because linker insertions involve the insertion of 12 bp (a multiple of three), an in-frame linker insertion resulted in every case. These linker sites are introduced to allow subsequent insertion of DNA encoding foreign peptide/proteins. Expression of such chimeric genes leads to the production of an entire full-length RsaA protein carrying the inserted heterologous  
20 amino acid sequence of interest. A number of BamHI site positions were identified above precisely by nucleotide sequencing. Four of the sites in the rsaA gene correspond to amino acid positions 188, 782, 905, 944 in the RsaA protein. For this example, an additional linker insertion was created at amino acid position 95 of the native gene (i.e. this gene carried its own promoter) using the same methodology. All  
25 five in-frame BamHI linker insertion sites were inserted in the rsaA so that the nucleotides of the linker DNA were read in the reading frame GGA/TCC.

Because all BamHI linker nucleotides were read in the same reading frame, the 5' region of one rsaA gene carrying a BamHI linker insertion at one position  
30 could be combined with the 3' region of an rsaA gene carrying another of the BamHI linker insertions to create in-frame deletions with a BamHI site at the joint between adjacent regions of rsaA. Using such a method, in-frame deletions of rsaA

( AA95-782) and rsaA( AA188-782) were created.

DNA fragments encoding various C-terminal portions of the 1026 amino acid RsaA protein were isolated using the newly inserted BamHI linker sites as the 5' terminus of the fragment and a HindIII site as the 3' terminus of the fragment. These BamHI fragments were transferred to the BamHI/HindIII sites of pUC8 (J. Vieira, and J. Messing. (1982) Gene 19:259-268) creating rsaA C-terminal segment carrier plasmids (see Figure 12 of WO 97/34000). The insertion into pUC8 also resulted in the creation of an in-frame fusion between the first 10 N-terminal amino acids of LacZa and the various C-terminal fragments (AA782-1026, AA905-1026 or AA944-1026) of RsaA. These LacZa:rsaA fusion proteins can be produced in Caulobacter using the lacZa transcription/translation initiation signals when introduced on appropriate plasmid vectors or direct insertion into the chromosome (see: W.H. Bingle, et al. (1993) Can. J. Microbiol. 39:70-80).

15

Both types of construction, the deletion versions and the C-terminal only segments, resulted in the production of proteins secreted by the Caulobacter as highly modified S-layer proteins. The gene segments can also facilitate the secretion of heterologous polypeptides by insertion or fusion of appropriate DNA sequences at the unique BamHI site that exists in each of the constructions, as described below.

20

#### A- Creating Fusions of Desired Sequences with C-terminal Portions of a Caulobacter S-layer Gene -Method 1

25 The process may be as follows:

(1) Inserting the desired sequence into the Carrier cassette. Heterologous sequences may be introduced into a carrier by:

30 (a) Insertion of a single copy of the desired gene segment.

Depending upon the length of a gene segment, two methods of construction

may be used. For segments of up to about 30 amino acids, two oligonucleotides of appropriate sequence may be chemically synthesized, annealed by mixing, heating and slow cooling and ligated into the carrier cassette. The oligonucleotides will also contain additional base pairs that recreate "sticky ends" of appropriate restriction  
5 endonuclease sites at each end of the duplex DNA that results from the annealing process.

For longer segments, PCR may be used to amplify a region of a target DNA sequence. Oligonucleotides are synthesized that have sequence complementary to the  
10 boundaries of the desired sequence and which contain additional base pairs that recreate a "sticky end" of an appropriate restriction endonuclease site. In the present example oligonucleotides are made to produce products with the appropriate restriction endonuclease site for directional cloning into the carrier cassette. PCR amplification of the desired sequence is then done by standard methods.

15

For each method, sticky ends must be appropriate for restriction sites at the 5' terminus and the 3' terminus. This places the desired gene segment in the correct orientation within the carrier cassette. Reading frame continuity is maintained by appropriate design of the oligonucleotides used for the PCR step.

20

(b) Preparation of multiple copies of the desired gene segment.

The carrier cassette also allows for production of multiple insert copies. For example, a restriction site in the cassette may be restored after removal of a  
25 promoterless antibiotic resistance gene and the site is then used to insert an additional copy as described in WO 97/34000. This "piggy-back" insertion still maintains the correct reading frame throughout the construction. Any number of additional cycles of "piggy-backing" can be done because the ligation results in a sequence which is no longer a substrate for the restriction enzymes. The result is the production of  
30 cassettes of multiple copies of the desired sequence which can be transferred to appropriately modified S-layer protein genes with the same ease as a single copy. An additional feature of this method is that different heterologous sequences can be paired

together in this multiple copy cassette with the same ease as multiple copies of the same heterologous sequence.

Example 6a: Insertion of an 109 amino acid segment of the IHNV surface  
5 glycoprotein to Carrier cassette.

A PCR product was made that contained the DNA coding for amino acids 336 to 444 of the major surface glycoprotein of the Infectious Hematopoietic Necrosis Virus (IHNV), as described in WO 97/34000.

10

Example 6b: Insertion of an 184 amino acid segment of the IHNV surface glycoprotein to Carrier cassette.

A PCR product was made that contained the DNA coding for amino acids 270  
15 to 453 of the IHNV glycoprotein segment.

Example 6c: Insertion of single and multiple copies and an epitope of the *Pseudomonas aeruginosa* PAK pilus gene to Carrier cassette.

20 Oligonucleotides were constructed to code for the pilus epitope described in Example 4. Using the methods outlined in part A(1)(b) of this Example, 3 tandem copies were prepared.

(2) Transfer of Carrier Cassette to C-terminal Segment Carrier Plasmids. The  
25 constructs described in Examples 6a and 6b were transferred to a *rsaA* C-terminal Segment carrier plasmid, as described above, resulting in an in-frame fusion of: (a) a 10 amino acid section of the  $\beta$ -galactosidase protein, (b) the desired sequence flanked by 2-3 amino acids derived from the carrier cassette sequence, and (c) the appropriate *rsaA* C-terminal segment. In some cases, the first codon of the *rsaA* C-terminal  
30 segment is converted to a different codon as a result of the fusion. For example, while the *rsaA* C-terminal segment may have coded for amino acids 944-1026 of RsaA, the resulting chimeric protein may only have amino acids 945-1026 native to

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RsaA.

Example 6d: Fusion of carrier/109 AA and 184 IHN<sup>V</sup> segments to C-terminal rsaA segment AA782-1026.

5

This was done using the carrier cassettes described in Examples 6a and 6b and the AA782-1026 rsaA C-terminal segment carrier plasmid described above.

Example 6e: Fusion of Carrier/109 AA and 184 AA IHN<sup>V</sup> segments to C-terminal rsaA segment AA905-1026.

10

This was done using the carrier cassettes described in Examples 6a and 6b and the AA905-1026 rsaA C-terminal segment carrier plasmid described above.

Example 6f: Fusion of Carrier/109 AA and 184 AA IHN<sup>V</sup> segments to C-terminal rsaA segment AA944-1026.

15

This was done using the carrier cassettes described in Examples 6a and 6b and the AA944-1026 rsaA C-terminal segment carrier plasmid described above.

20

Example 6g: Fusion of Carrier/3x Pilus Epitope Segment to C-terminal rsaA Segment AA782-1026.

This was done using the carrier cassettes described in Example 6c and the AA782-1026 rsaA C-terminal segment carrier plasmid described above.

25

(3) Expression of the Desired Fusion in an Appropriate Caulobacter Host Strain.

(a) Plasmid-based expression.

30

To create plasmid vectors that can be introduced and maintained in Caulobacter, an entire C-terminal segment carrier plasmid may be fused to a broad

host range vector such as pKT215 or pKT210 (see: M. Bagdasarian, et al. (1981) Gene 16:237-247) using the unique HindIII restriction site present in each plasmid. The resulting plasmid is introduced into Caulobacter by conjugation or electroporation methods and is maintained by appropriate antibiotic selection.

5

The fusions described in Examples 6d-6g were expressed in C. crescentus. In each case expression and secretion of the chimeric S-layer protein was detected by Western immunoblot analysis of electrophoretic gels of the cell culture supernatant employing the monoclonal antibody for each of the polypeptide epitopes. The  
10 transporter signal is localized to amino acids 945-1026 of the S-layer protein since all the chimeric proteins in the Examples were secreted. Precipitation of the chimeric protein occurred with the use of rsaA segment AA782-1026 but not AA944-1026. Recovery of precipitate using AA905-1026 was reduced as compared to AA782-1026.

15 (b) Selection of appropriate C. crescentus host strains.

It is often desirable to use a S-layer negative host strain such as CB2A or CB15aKSac. If it is important to ensure that the fusion protein is not attached to the cell surface, the use C. crescentus strains CB15Ca5KSac or CB15Ca10KSac may be  
20 appropriate. The latter strains have additional mutations that result in the loss of the production of a specific species of surface lipopolysaccharide that has been demonstrated to be involved with the surface attachment of native S-layer protein as a 2-dimensional crystalline array (see: Walker S.G. et al. (1994) J. Bacteriol. 176:6312-6323). With highly modified versions of an S-layer gene, this provision is  
25 not necessary since virtually all regions of the gene that may have a role in the attachment process will be absent.

An example of a growth media well suited to both propagation of Caulobacter for general purposes (including cloning steps) and also to produce the secreted and  
30 aggregated chimeric proteins is PYE medium, a peptone and yeast extract based medium described in Walker et al., (1994).

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B- Creating Fusions of Desired Sequences with C-terminal Portions -Method 2

Methods other than the use of a carrier cassette plasmid are possible for creation of heterologous insertions into deletion versions of a S-layer gene or fusions  
5 with C-terminal portions of a S-layer protein. PCR may be used or other known methods may be used. The general procedure is as follows:

(1) Use of PCR to prepare appropriate segments:

10 (a) Preparation of amplified segment with appropriate ends may be carried out in a manner similar to that described part A(1)(a) of this example. Oligonucleotides are designed and synthesized such that they will anneal to appropriate regions of the desired heterologous DNA and also contain "sticky ends" of appropriate sequence and frame so that the resulting PCR product can be directly  
15 inserted into appropriate modified S-layer genes.

(b) Transfer to appropriate C-terminal segments may be carried out by inserting the PCR products into selected C-terminal segments such as AA782-1026, AA905-1026, or AA944-1026, as described in Examples 6d-6g. In addition to the  
20 BamHI site described, the EcoR1 restriction site could also be used as the 5' terminus of the incoming PCR segment, since this site is also available in the pUC8 vector and not in the S-layer gene, so long as the correct reading frame was maintained when designing the oligonucleotides used to prepare the PCR product.

25 (2) Expression of the desired fusion in an appropriate Caulobacter host strain may be carried out using the procedures outlined in part A(3) of this example.

C- Creating Insertions of Desired Sequences into Versions of a S-layer Gene Having Large Internal In-frame Deletions.

30

The general process may be as follows, with reference to rsaA:

(1) Creating Appropriate In-frame Deletions

rsaA (AA95-782) and rsaA(AA188-782) may be prepared as described above. Because most of the BamH1 linker insertion sites are in the same reading  
5 frame with respect to each other, it is possible to combine other pairs of 5' and 3' segments using the same general method, with the same result of maintenance of correct reading frame throughout. These deletion versions may then be tested individually to ensure that S-layer protein is still secreted by the Caulobacter.

- 10 (2) Insertion of a Gene Segment carrier Cassette Containing the Desired Sequences: insertion and transfer of carrier cassettes may be done using the procedures described in parts A(1) and A(2) of this example.

Example 6h: Insertion of the 109 AA IHNV segment into rsaA (AA95-782) and  
15 insertion of the 109 AA IHNV segment into rsaA(AA188-782) may be carried out as in Examples 7d-7g. Expression of the desired genetic construction in appropriate C. crescentus strains may be done using the procedures outlined in part A(3) of this example.

- 20 (3) Alternate PCR Procedures: may be used to prepare a heterologous segment for direct insertion into the BamHI site with the deletion versions of the rsaA gene. The procedure is essentially the same as described in part B(1) of this example.

Example 7: Transfer to a Native S-layer Gene Chromosomal Site as a Single

25 Crossover Event

Fusion of a carrier cassette containing heterologous DNA segments to a C-terminal S-layer protein segment plasmid results in a plasmid that is not maintained in Caulobacter. Selection for the antibiotic marker on the plasmid results in detection  
30 of the rescue events. Most commonly these are single crossover homologous recombination events. The result is a direct insertion of the entire plasmid into the chromosome. Thus the resident copy of the S-layer gene remains unchanged as well

as the incoming modified S-layer gene. In such cases it may be desirable to use Caulobacter strains in which the resident S-layer gene has been inactivated by adapting known procedures. One example is C. crescentus strain CB15AKSac which has an antibiotic resistance gene cassette introduced at a position in the S-layer gene  
5 about 25% of the way from the 5' terminus.

Example 8: Transfer to a Native S-layer Gene Chromosomal Site as a Double Crossover Event

10 In certain cases it may be desirable to completely exchange a resident S-layer gene with an incoming modified version. One method is by the incorporation of a sacB gene cassette (Hynes, M.F., et al. (1989) Gene 78: 111-119) into pUC8 based plasmids carrying the desired chimeric gene construction. This cassette contains a levansucrase gene from Bacillus subtilis that, in the presence of sucrose, is thought to  
15 produce a sugar polymer that is toxic to most bacteria. One first selects for a single crossover event as described in Example 7. Subsequent growth on sucrose-containing medium results in the death of all cells except those that lose the offending sacB gene by homologous recombination within adjacent gene copies. Two events are possible; restoration of the resident copy of the S-layer gene or replacement of the resident  
20 copy with the incoming modified gene. A screen with insertion DNA as probe or antibody specific to the heterologous gene product identifies successful gene replacement events. The method requires that S-layer gene sequence or native sequences immediately adjacent to an S-layer gene be present on both sides of the heterologous sequence and is best suited for deletion versions of a S-layer gene.

25

Other methods are available for the delivery of genes to the chromosome of Caulobacter. Methods involving the use of the transposons Tn5 and Tn7 as a means of delivery of genes to random chromosome locations are available (see: Barry, G.F. (1988) Gene 71:75-84.). The use of the xylose utilization operon as a target for  
30 chromosome insertion have also been described. This method involves the incorporation of a portion the operon into a pUC8 based plasmid construction. This allows homologous recombination within the xylose operon as a means of plasmid

rescue. Loss of the ability to use xylose as a nutrition source confirms the rescue event.

Example 9: Transformation and Expression of Heterologous Protein in Caulobacter

5 other than C. crescentus

Using the procedures described above, a DNA construct made according to Examples 4 and 6 was introduced into the freshwater S-layer producing Caulobacter identified as FWC42 in MacRae, J.D. and J. Smit (1991) and in Walker, S.G. et al. 10 (1992). FWC42 is clearly distinct as a species separate from C. crescentus. The construct contained 3 copies of the pilus epitope and a nucleotide sequence encoding amino acids 690-1026 of RsaA as the secretion signal. The heterologous polypeptide was expressed by the transformed FWC42 cells and was secreted at sufficient levels such that the secreted protein was found in the cell medium as an aggregate.

15

Example 10: Demonstration of Type I Secretion Mechanism and  
Sequence Similarity in Different Caulobacter Species

The following non-C. crescentus species of freshwater Caulobacter as 20 described in MacRae, J.D. and J. Smit (1991) and in Walker, S.G. et al. (1992) were employed in this Example: FWC1, FWC8, FWC9, FWC17 and FWC19, FWC28, FWC32, FWC39 and FWC42.

Employing the materials and methods described in Awram, P. and J. Smit 25 (1998) J. of Bacteriology 180:3062-3069, species FWC8, 9, 17, 19, 28, 32, 39 and 42 were transfected with plasmids containing the P. aeruginosa alkaline protease gene (aprA) which is a known type I secretory protein. The protease was shown to be secreted at levels comparable to the levels of such protease reported by Awran and Smit for C. crescentus transformed in the same way. Thus, the transport mechanism 30 in the non-C. crescentus species are Type I mechanisms capable of recognizing diverse Type I (C-terminal) secretion signals.

The following recombinant DNA and DNA sequencing methods are described in Awram, P. and J. Smit (1998) and may be used with appropriate adaptation in this invention. These procedures may be used in screening suitable Caulobacter for use as host organisms and for identification of Caulobacter of this invention. E. coli DH5 $\alpha$  5 (Life Technologies) was used for all E. coli cloning manipulations. E. coli was grown at 37°C in Luria broth (1% tryptone, 0.5% NaCl, 0.5% yeast extract) with 1.2% agar for plates. Caulobacter was grown at 30°C in PYE medium (0.2% peptone, 0.1% yeast extract, 0.01% CaCl<sub>2</sub>, 0.02% MgSO<sub>4</sub>) with 1.2% agar for plates. Ampicillin was used at 100  $\mu$ g/ml, streptomycin was used at 50  $\mu$ g/ml, 10 kanamycin was used at 50  $\mu$ g/ml, and tetracycline was used at 0.5  $\mu$ g/ml for Caulobacter and at 10  $\mu$ g/ml for E. coli when appropriate.

Standard methods of DNA manipulation and isolation were used. Electroporation of Caulobacter was performed as described above. Southern blot 15 hybridizations were done in accordance with the membrane manufacturer's manual (Amersham Hybond-N). Radiolabelled probes were made by nick translation using standard procedures.

PCR product containing rsaD and rsaE was generated using primers 20 5'-CGGAATCGCGCTACGCGCTGG-3' (SEQ ID NO:2) and 5'-GGGAGCTCGAAGGGTCCTGA-3' (SEQ ID NO:3). Product was generated using *Taq* polymerase (Bethesda Research Laboratories) and following the manufacture's suggested protocols. Following a 5-min denaturation at 95°C, two cycles of 1 min at 42°C, 2 min at 65°C, and 30s at 95°C were followed by 25 cycles 25 of 1 min at 55°C, 2 min at 65°C, and 30 s at 95°C. The vector pBSKS+ Stratagene was cut at the *EcoRV* site and T tailed. The PCR product was ligated into this vector so that rsaD and rsaE would be in the same orientation as the *lacZ* promoter of pBSKS+. This construct was called pRAT5.

30

Plasmid pBBR5 was constructed from plasmids pBBR1MCS (Kovach, M.E.

et al. (1994) BioTechniques 16:800-802) and pHP45 $\Omega$ -Tc (Fellay, R. et al. (1987) Gene 52:147-154). The  $\Omega$ -Tc fragment from pHP45 $\Omega$ -Tc was removed by using *Hind*III, and the ends were blunted by using T4 polymerase. A 0.3-kbp portion of the *Cmr*-encoding gene was removed from pBBR1MCS b7 cutting with *Dra*I and replaced  
5 with the blunted  $\Omega$ -Tc Fragment, producing a Tc<sup>r</sup> broad-host-range vector that replicates in Caulobacter.

Plasmid pRAT4 $\Delta$ H was made by removing the *Cla*I-*Hind*III fragment from pTZ18UB:*rsa* $\Delta$ P (Bingle, W.H. et al. (1997) J. Bacteriol. 179:601-611) and replacing  
10 it with the *Cla*I-*Hind*III fragment from pRAT1 containing the C-terminus of *rsaA* and the complete *rsaD* and *rsaE* genes.

A NA1000 cosmid library (Alley, M.R. et al. (1991) Genetics 129:333-341) was probed with radiolabelled *rsaA*. 11 cosmid clones hybridizing to the probe were  
15 isolated. Southern blot analysis was used to determine which cosmids contained DNA 3' of *rsaA*. An 11.7kb *Ssr*I-EcoRI fragment containing *rsaA* plus 7.3 kb of 3' DNA was isolated from one of the cosmids and cloned into the *Ssr*I-EcoRI site of pBSKS+; the resulting plasmid was named pRAT1. The 3' end of the cloned fragment consisted of 15 bp of pLAFR5 DNA containing *Sau*3A1, *Sma*I; and *Eco*RI  
20 sites.

*Bam*HI fragments from pRAT1 were subcloned into the *Bam*HI site of vector pTZ18R for sequencing. The 3'-end fragment was subcloned into pTZ18R by using *Bam*HI and *Eco*RI. The 5'-end fragment was subcloned into pTZ18R by using  
25 *Hind*III. Sequencing was performed on a DNA sequencer (Applied Biosystems™ model 373). After use of universal primers, additional sequence was obtained by "walking along" the DNA using 15-bp primers based on the acquired sequence. Nucleotide and amino acid sequence data were analyzed by using Geneworks™ and MacVector™ software (Oxford Molecular Group) or the National Center for  
30 Biotechnology Information BLAST e-mail server using the BLAST algorithm. Protein alignments were generated by using the ClustalW™ algorithm as implemented



by the MacVector™ software and using the default settings.

Sequences for rsaD and rsaE have been assigned GenBank Accession No. AF062345. The proteins have the following sequences:

5

RsaD (SEQ ID NO:4):

MFKRSGAKPTIFDQAVLVARPAVITAMVFSFFINILALVSPLYMLQVYDRVLTSRNVSTLIVLTVICVFL  
FLVYGLLEALRTQVLVRGGLKFDGVARDPIFKSVLDSTLSRKGIGGQAFRDMQVREFMTGGGLIAFCDA  
10 WTPVFVIVSWMLHPFFGILAI IACIIIFGLAVMNDNATKNPIQMATMASIAAQNDAGSTLRNAEVMKAMG  
MWGGLQARWRARRDEQVAWQAAASDAGGAVMSGIKVFRNIVQTLILGGGAYLAIDGKISAGAMIAGSILV  
GRALAPIEGAVGQWKNYIGARGAWDRLOTMLREEKSADDHMPLEPRGVLSAEAASILPPGAQQPTMRQA  
SFRIDAGAAVALVGPSAAGKSSLLRGIVGVWPCAAGVIRLDGYDIKQWDPEKLGRHVGYLQDIELEFSGT  
VAQNIARFTEFESQEVIEAATLAGVHEMIQSLPMGYDTAIGEGGASLSGGQRQLALARAVFRMPALLVL  
15 DEPNASLDQVGEVALMEAMKRLKAARTVIFATHKVNLLAQADYIMVINQGVISDFGERDRCWPS

RsaE (SEQ ID NO:5):

MKPPKIQRPTDNFQAVARIGYGI IALT FVGLLGWAAFAPLDSAVIANGVVS AEVSQDVQHLEGGMLAKIL  
20 VREGKVKAGQVLFELDPTQANAAAGITRNQYVALKAMEARLLAERDQRPSISFPADLTSQRADPMVARA  
IADEQAQFTERRQTIQGGVDLMAQRLQYQSEIEGIDRQTOGLKDQLGFIEDELIDLRKLYDKGLVPRPR  
LLALEARAGSLSGSIGRLTADRSKAVQGASDTQLKVRQIKQEFFEQVSQSITETVRVLAEVTEKEVVASD  
AQKRIKIVSPVNGTAQNLRFFTEGAVVRAAEPLVDIAPEDAEFVIQAHFQPTDQVNVHMGVTEVRLPAF  
HSAGNPDPERHDFVAVADRI SDPQKQARLFLGIVRVDVKQLPPHLRGRVTAGMPAQVTVPTGERTVLQYL  
25 FSPLRDTLRRTMREE

The Table below sets out results of initial sequencing of S-layer related genes in 2 strains of C. crescentus (NA1000 and CB2) and four non-C. crescentus species of S-layer producing freshwater Caulobacter (FWC6, 8, 19, 27 and 39). Genes identified as A, D and E are the S-layer structural gene, the ABC transporter gene and the membrane fusion protein (MPF) respectively. In the C. crescentus strains, the latter genes are rsaA, rsaD, and rsaE, respectively. The transport protein genes are highly conserved within and among the species. Within the C. crescentus species, there is high conservation of the rsaA gene, including the C-terminal secretion signal.

35 A region of the S-layer gene in FWC27 outside the secretion signal region shows clear divergence from the equivalent region in the two C. crescentus strains.

Further sequencing studies comparing the S-layer protein gene (A), the ABC-transporter gene (D) and the MFP gene (E) of FWC1, 9, 19, 39 and 42 to the rsaA, rsaD and rsaE genes of C. crescentus strain CB15A produced the following results. FWC1 and FWC19 respectively exhibited about 32% and about 27% identity over the last 300 C-terminal amino acids of the A gene, as compared to rsaA. For the last 100 C-terminal amino acids, identity of FWC1 and FWC19 sequences to rsaA was about 50% and 41% (respectively), with the most significant identity being in the last 62 amino acids. Sequencing of various 35 to about 350 amino acid segments of the D gene from FWC1, FWC9, FWC19, FWC39 and FWC42 resulted in sequence identity to rsaD of at least about 79%. Sequencing of large portions of the E gene from FWC19 and FWC42 (about 368 and about 290 amino acids respectively) demonstrated about 85% and about 73% identity (respectively) to the rsaE gene.

Approximately the last 100 C-terminal amino acids of the A gene for FWC1 (SEQ ID NO:6) and FWC19 (SEQ ID NO:7) are set out below.

#### FWC1

TTDTLKFANTG--TETFTSTKVDLTGVNDFTAALNAAAAGNGGGNGII  
TWFQYGGNTYIVEDRDAGNTFNVATDIVVKLTGAVDLST-AVLSAFGRRS  
SLTLV

#### FWC19

RAHMILKPTRHVS DRWGRHV ARLVQLPGRPCPKLSDAATTGNASHKV  
SWFVYGGDTYLVKMSTLAPPSKTARTIVVKLTGTTNDLTK--ATFDGAAH  
TLTLG

This invention now being described, it will be apparent to one of ordinary skill in the art that changes and modifications can be made thereto without departing from the spirit or scope of the appended claims.

FWC species	Size of A	A		D		E	
		Identity <sup>1</sup>	Similarity <sup>2</sup>	Identity <sup>1</sup>	Similarity <sup>2</sup>	Identity <sup>1</sup>	Similarity <sup>2</sup>
NA 1000	98 kDa	100%	100%	100%	100%	100%	100%
CB2	98 kDa	1026/1026	1026/1026	555/555	555/555	435/435	435/435
FWC 6	181 kDa	100%	100%	99.8%	99.8%	99.3%	99.3%
FWC 8	122 kDa	1026/1026	1026/1026	554/555	554/555	432/435	432/435
FWC 19	108 kDa	ND	ND	85%	92%	ND	ND
FWC 27	145 kDa	ND	ND	381/451	415/451	ND	ND
FWC 39	193 kDa	ND	ND	86%	94%	ND	ND
		ND	ND	390/451	424/451	ND	ND
		29%	40%	85%	93%	82%	88%
		57/198	80/198	357/419	390/419	355/435	381/435
		ND	ND	ND	ND	ND	ND
		ND	ND	84%	92%	ND	ND
				380/451	416/451		

<sup>1</sup> - Identical amino acids to NA 1000 / number of amino acids predicted by sequence

<sup>2</sup> - Identical and similar amino acids to NA 1000 / number of amino acids predicted by sequence

ND - Not Determined

Amounts of sequence obtained

A - CB2	amino acids 1-1026 (100% of sequence)	E - CB2	amino acids 1-435 (100% of sequence)
- FWC 27	amino acids 38 - 250	- FWC 19	amino acids 1-435 (100%)
D - CB2	amino acids 1-555 (100% of sequence)		
- FWC 6	amino acids 45-495 (81%)		
- FWC 8	amino acids 45-495 (81%)		
- FWC 19	amino acids 80-495 (75%)		
- FWC 39	amino acids 45-495 (81%)		

WE CLAIM:

1. A host cell for expression and secretion of a heterologous polypeptide, wherein the cell is a Caulobacter comprising at least one surface layer transport  
5 protein having an amino acid sequence homologous to SEQ ID NO:4 or SEQ ID NO:5, and wherein the host further comprises a DNA construct comprising DNA encoding a polypeptide heterologous to a surface layer protein of the cell 5' from and operably linked to DNA encoding a Caulobacter surface layer protein secretion signal, with the proviso that when the cell comprises transport proteins having the same  
10 sequence as both SEQ ID NO:4 and SEQ ID NO:5, the secretion signal is not from C. crescentus.
2. The cell of claim 1 wherein at least one of the transport proteins of the cell has an amino acid sequence the same as SEQ ID NO:4 or SEQ ID NO:5.  
15
3. The cell of claim 2 having transport proteins with the same amino acid sequence as SEQ ID NO:4 and SEQ ID NO:5, and wherein the secretion signal does not comprise SEQ ID NO:1.
- 20 4. The cell of claim 1, ~~2 or 3~~ wherein the DNA construct further comprises an operably linked promoter recognized by the cell.
5. A method for identifying a Caulobacter suitable for use as a host cell for expression and secretion of a heterologous polypeptide comprising:  
25
- (a) extracting DNA from a candidate Caulobacter;
  - (b) contacting the DNA with an oligonucleotide capable of selective hybridization to a nucleotide sequence encoding SEQ ID NO:4 or SEQ ID NO:5; and  
30
  - (c) determining whether the oligonucleotide hybridizes to the DNA.

6. The method of claim 5 wherein the oligonucleotide is labelled and said determining is by detection of the presence of the label bound to the DNA.

7. The method of claim 5 wherein said determining is by amplification of DNA  
5 with the oligonucleotide as a primer, followed by detection of an amplification product.

8. A DNA construct comprising one or more restriction sites for facilitating insertion of DNA into the construct, wherein the construct further comprises DNA  
10 encoding a Caulobacter surface layer protein secretion signal not present in C. crescentus.

9. A DNA construct comprising DNA encoding a polypeptide not present in Caulobacter surface layer protein 5' from and operatively linked to DNA encoding a  
15 Caulobacter surface layer protein secretion signal not present in C. crescentus.

10. The DNA construct of claim 9 ~~or 10~~ further comprising an operably linked promoter recognized by Caulobacter.

11. The DNA construct of claim 8, ~~9 or 10~~ wherein the secretion signal has an amino acid sequence which does not comprise SEQ ID NO:1.

12. A bacterial cell comprising a DNA construct of claim 9, ~~10 or 11~~.

13. The cell of claim 12, wherein the cell is a Caulobacter.

14. The cell of claim 12, wherein the cell is C. crescentus.

15. The cell of claim 13 or 14, wherein the DNA construct further comprises an  
30 operably linked promoter recognized by Caulobacter and wherein the DNA construct is expressed in the cell and the protein so expressed is secreted by the cell.

16. A secreted protein obtained from a cell of claim 15, wherein the protein comprises one or more portions heterologous to a surface layer protein of the cell and wherein the protein has a C-terminal portion comprising a surface layer protein secretion signal not present in C. crescentus.

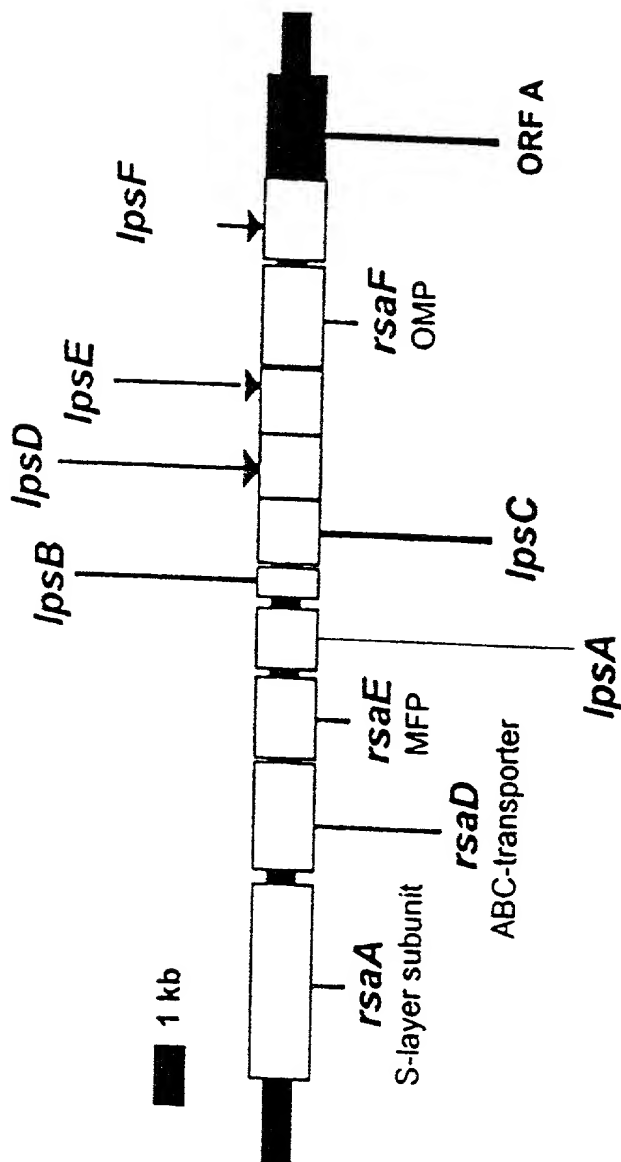


FIGURE 1

Attorney's Docket No.: 08106-005001

Client's Ref. No.: 82104-22

#3

**COMBINED DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled PRODUCTION OF HETEROLOGOUS POLYPEPTIDES FROM FRESHWATER CAULOBACTER, the specification of which:

- ☐ is attached hereto.  
☒ was filed on August 13, 2001 as Application Serial No. 09/913,414 and was also amended on August 13, 2001.  
☒ was described and claimed in PCT International Application No. PCT/CA00/00173 filed on February 21, 2000.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Country	Application No.	Filing Date	Priority Claimed	
WIPO	PCT/CA00/00173	February 21, 2000	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
Canada	2,261,186	February 19, 1999	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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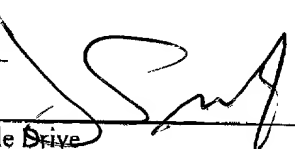
Attorney's Docket No.: 08106-005001

Client's Ref. No.: 82104-22

**Combined Declaration and Power of Attorney**

Page 2 of 2 Pages

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

1-00  
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